

Aug-07-2002 03:25pm

From PILLSBURY WINTHROP
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

703-905-2500

T-803 P.004/004 F-913

APPLICATION

In re: PATENT APPLICATION of:
 Inventor(s): SHIBATA
 Appln. No.: 09 493,819
 Series C de ↑ Serial No. ↑

Group Art Unit 2814
 Examiner: Wille, Douglas A.
 Atty. Dkt. PM 266204 T36-119817M/KOH
 M# Client Ref

R
R C E
E

Filed: January 28, 2000
 Title: GROUP III NITRIDE COMPOUND
 SEMICONDUCTOR DEVICE

**DO NOT USE FOR PROVISIONAL,
 DIVISIONAL, CIP OR DESIGN
 APPLICATIONS, OR REEXAMINATION OF
 PATENTS**

#10 RCE

Date: April 1, 2002

M. BRUNSON
 FAX COPY RECEIVED
 8/15/02

Hon. Commissioner of Patents
 Washington, D.C. 20231

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

AUG 7 2002

Please continue the examination of this application.

TECHNOLOGY CENTER 2800

PREREQUISITES

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.

An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).

Prosecution has been closed as defined in Rule 114(b).

Reply to any outstanding action and Rule 17(e) filing fee must be enclosed

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please enter do not enter the Amendment filed February 26, 2002
2. The enclosed new Amendment
3. Consider the arguments in the appeal brief filed _____ and reply brief filed _____
4. The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. The enclosed Information Disclosure Statement

<input type="checkbox"/> IDS Letter	<input type="checkbox"/> Cited Appln	<input type="checkbox"/> Foreign Search Report/OA
<input type="checkbox"/> PTO-1449		<input type="checkbox"/> Cited Documents
6. Please suspend action under Rule 103(c) for a period of _____ months (3 mos. Max) for which the required \$130 fee is enclosed
7. The required RCE filing fee of \$740/\$370 is attached (per PAT-120)

(Our Deposit Account No. 03-3975)

(Our Order No. 51273 / 266204)

C#

M#

**NOTE: Enter Rule 17(e) filing fee on PAT-120 for it
 Cannot be deferred!**

NO CLAIMS FEE REQUIRED

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

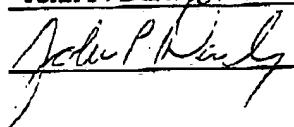
Pillsbury Winthrop LLP
 Intellectual Property Group

1600 Tysons Boulevard
 McLean, VA 22102

(703) 905-2000
 Atty/Sec: JPD/bmt

By Atty: John P. Darling

Reg. No. 44,482

Sig: Fax: (703) 905-2500
 Tel: (703) 905-2045

NOTE: File this Request (plus enclosures, if any) with cover sheet (PAT-120) in duplicate and with PTO receipt (PAT-103A)
 30275074_1.DOC **PAT-252 10/01**

FROM
**Intellectual Property Group of
 Pillsbury Winthrop LLP
 Attorneys at Law
 P.O. Box 10500
 McLean, VA 22102**

Telephone: (703) 905-2000

Our Facsimile #: (703) 905-2500

FACSIMILE TRANSMISSION

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TO: UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 7 2002

FACSIMILE #: (703) 308-7722

TECHNOLOGY CENTER 2800

No. Pages (Including this page) 4

FAX Opr:

Theresa Togba

IF YOU DO NOT RECEIVE CLEARLY ALL PAGES, PLEASE CONTACT US IMMEDIATELY
By Telephone AT: (703) 905-22045 (local)
or (703) 905-2000 (local)

-->>> USPTO:

PLEASE ACKNOWLEDGE CLEAR RECEIPT OF ALL PAGES INDICATED
 ABOVE BY FAXING THIS PAGE BACK TO ONE OF OUR FACSIMILE
 NUMBERS STATED ABOVE

In re PATENT APPLICATION of
 Inventor(s) SHIBATA et al.
 Appln. No. 09/493,819

Group Art Unit: 2814
 Examiner: Wille Douglas A.

series code ↑ ↑ serial no.
 Filed: January 28, 2000

Atty. Dkt. PM 266204
 MH

TITLE: GROUP III NITRIDE COMPOUND
 SEMICONDUCTOR DEVICE

Date: August 7, 2002

Name or type of signed paper being transmitted:
 PTO filed-stamped receipt, PAT 120, RCE

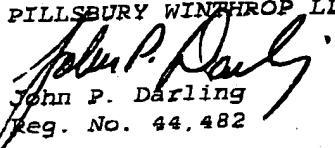
MESSAGE:

Examiner Wille:

Further to our discussion this morning, enclosed is a copy of the RCE filed
 April 1, 2002, along with a copy of our receipt. Please contact me should you
 need anything else.

Respectfully,

PILLSBURY WINTHROP LLP


 John P. Darling
 Reg. No. 44,482

(ATTN: Atty/Sec.: Transmit only one paper herewith. For papers not acceptable by fax, see back side or LAN
 Forms Directory PAT-286 Rear. Do not file originals but fasten them in our file (left side) with
 this sheet and fax receipt on top. Do NOT send the originals nor a confirmation copy to the PTO.)

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent
 and Trademark Office on the date shown below.

Name John P. Darling

Sig. _____

Date August 7, 2002

S1273/266204

C# / M#

PAT-286 7/99

RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications or Any Kind)
 Use 2 postcards for all New Applns. (cont/Div/CIP, too)
 Use this sheet when filing CPA

Appln. No: 09/493,819	Atty: John P. Darling/Togba
First Inventor: SHIBATA	Date: April 1, 2002
GROUP III NITRIDE COMPOUND SEMICONDUCTOR DEVICE	Matter No: 266204
	Client No: 51273

ENCLOSED:

- Response/Amendment Appendix Cover Sheet Cited>Listed Documents
 Completion Request for R 53(f)/PCT Nat.

No. of Pages Abstract

FAX COPY RECEIVED

No. of Pages Spec and Claims

AUG 7 2002

No. of Numbered Claims Only

TECHNOLOGY CENTER 2800

No. of Sheets of Drawings (Figs)

- 1 Set Formal 1 Set Informal Cover Letter

 Declaration # of pages Assignment Cover Sheet Small Entity Declaration Extension Petition (PAT-111)# No. of Priority Documents IDS Letter Cited Appln(s) Foreign Sch Rep/OA PTO-1449 Cited Documents Issue Fee Transmittal Form PTOL-85(b) + (c)\$ 1140

Fee (Check)

OTHER: Request for Continued Examination Under Rule 114; Two Month Extension PetitionCurrent DUE DATE: April 26, 2002

(Submit Single Copy Only)

Inventor(s): SHIBATA

Appn. No.: 09

Series Code ↑

493,819

Serial No. ↑

Filed: January 28, 2000

Hon Commissioner of Patents
Washington, D.C. 20231

Sir.

REPLY/AMENDMENT/LETTER

703-905-2500

Group Art Unit

Examiner:

Atty. Dkt.

Appl. Title.

T-803 P.003/004 F-913

28
Wiltz, Douglas A.

P 266204

M#

T36-119817M/KOH
Client Ref

GROUP III NITRIDE COMPOUND

SEMICONDUCTOR DEVICE

FAX COPY RECEIVED

AUG 7 2002

Date: April 1, 2002

TECHNOLOGY CENTER 2800

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1 Small Entity claim	
A. <input checked="" type="checkbox"/> NOT made	For B & C
B. <input type="checkbox"/> Withdrawn	See Required Separate Paper (Pat-256)
C. <input type="checkbox"/> made herewith	
D. <input type="checkbox"/> made previously	

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	5	**minus 20	0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	2	***minus 3	0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)			add	+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: February 26, 2002	<input type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2 mos) (3 mos) (Usable only for ≤ 2mo OA --- 4 mos) (Usable only for 30 day/1mo OA --- 5 mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720 = \$1,960/\$980 =	+ \$400			115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract		- \$0				
8.		Extension Fee Attached	+ \$400			
9. If Terminal Disclaimer attached, add Rule 20(d) official fee		+ \$110/\$55	+ \$0			148/248
10. If IDS attached requires Official Fee under Rule 97(c), or if Rule 97(d) Request	add add	+ \$180 + \$180	+ \$0			126 126
11. After-Final Request Fee per rules 129(a) and 17(r)		+ \$740/370	+ \$0			146/246
12. No. of additional inventions for examination per Rule 129(b)		x \$740/370 ea	+ \$0			149/249
13. Request for Continued Examination (RCE)		+ \$740/370	+ \$740			1179/1279
14. Petition fee for			+ \$0			
		TOTAL FEE ENCLOSED =	\$1140			

15.

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0"

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 51273 266204

CP M#

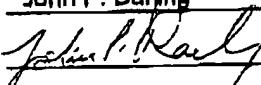
CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: John P. Darling

Sig: 

Reg. No. 44,482

Fax: (703) 905-2500
Tel: (703) 905-2045

Atty/Sec: JPD/tmt

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments